

### **UTILITY PATENT APPLICATION TRANSMITTAL** (Large Entity)

Docket No. 770P011329-US (PAR)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

#### TO THE COMMISSIONER FOR PATENTS

**Mail Stop Patent Application** 

|                                     |                          |                          | O. Box 1450<br>Iria, VA 22313-1450 |                                 |  |
|-------------------------------------|--------------------------|--------------------------|------------------------------------|---------------------------------|--|
| Transmitted here invention entitled |                          | 35 U.S.C. 111(a)         | and 37 C.F.R. 1.53                 | 3(b) is a new utility patent ap | plication for an   |
|                                     |                          |                          |                                    |                                 |  |
| SECURE I                            | POSTAL METERING          | DEVICE                   |                                    |                                 |  |
| and invented by                     |                          |                          | ·····                              |                                 |  |
| and invented by                     | •                        | · <u>·</u>               |                                    |                                 | 9.00<br>2.00<br>2.00<br>2.00<br>2.00<br>2.00<br>2.00<br>2.00 |
| George Bro                          | ookner, Mark Ferraro     |                          |                                    |                                 | 18<br>176<br>130   |
|                                     |                          |                          |                                    |                                 | 175  |
| If a CONTINUA                       | TION APPLICATION         | l, check appropr         | riate box and supply               | the requisite information:      |  |
|                                     | ion 🗆 Divisional         | ☐ Continua               | ation-in-part (CIP)                | of prior application No.:       |  |
| Which is a:                         | ion 🗆 Divisional         | Continue                 | otion in nort (CID)                | of prior application No.        |  |
| ☐ Continuat Which is a:             | ion 🗓 Divisional         | _ Continua               | ation-in-part (CIP)                | of prior application No.:       |  |
| ☐ Continuat                         | ion 🗌 Divisional         | ☐ Continua               | ation-in-part (CIP)                | of prior application No.:       |  |
| Enclosed are:                       |                          |                          |                                    |                                 |  |
|                                     |                          | Appli                    | cation Elements                    |                                 |  |
| 1. 🗷 Filin                          | ng fee as calculated an  | nd transmitted a         | s described below                  |                                 |  |
| 2. 🗷 Spe                            | cification having        | 16                       | pages and in                       | ncluding the following:         |  |
| a. 🗷                                | Descriptive Title of the | he Invention             |                                    |                                 |  |
| b. 🗀                                | Cross References to      | Related Applica          | ations (if applicable)             |                                 |  |
| с. 🗆                                | Statement Regarding      | g Federally-spor         | nsored Research/De                 | velopment (if applicable)       |  |
| d. 🗀                                | Reference to Sequer      | nce Listing, a Ta        | ible, or a Computer                | Program Listing Appendix        |  |
| e. 🕱                                | Background of the In     | vention                  |                                    |                                 |  |
| f. 🗆                                | Brief Summary of the     | e Invention              |                                    |                                 |  |
| g. 🗶                                | Brief Description of t   | he Drawings <i>(if</i> : | filed)                             |                                 |  |
| h. 🗷                                | Detailed Description     |                          |                                    |                                 |  |
| i. 🗷                                | Claim(s) as Classifie    | d Below                  |                                    |                                 |  |
| i. 🕱                                | Abstract of the Discle   | osure                    |                                    |                                 |  |

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|     |    | Application Elements (Continued)  |  |  |  |  |  |  |
|-----|----|---|--|--|--|--|--|--|
| 3.  | X  | Drawing(s) (when necessary as prescribed by 35 USC 113)   |  |  |  |  |  |  |
|     | a. | ☐ Formal Number of Sheets   |  |  |  |  |  |  |
|     | b. | ☑ Informal Number of Sheets3  |  |  |  |  |  |  |
| 4.  |    | Oath or Declaration   |  |  |  |  |  |  |
|     | a. | ☐ Newly executed (original or copy) ☐ Unexecuted  |  |  |  |  |  |  |
|     | b. | ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)   |  |  |  |  |  |  |
|     | C. | ☐ With Power of Attorney ☐ Without Power of Attorney  |  |  |  |  |  |  |
|     | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  |  |  |  |  |  |  |
| 5.` |    | Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |  |  |  |  |  |
| 6.  |    | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |  |  |  |  |  |  |
| 7.  |    | Application Data Sheet (See 37 CFR 1.76)  |  |  |  |  |  |  |
| 8.  |    | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)  |  |  |  |  |  |  |
|     | a. | ☐ Computer Readable Form (CRF)  |  |  |  |  |  |  |
|     | b. | Specification Sequence Listing on:  |  |  |  |  |  |  |
|     |    | i.   CD-ROM or CD-R (2 copies); or  |  |  |  |  |  |  |
|     |    | ii. 🔲 Paper   |  |  |  |  |  |  |
|     | C. | c.  Statement(s) Verifying Identical Paper and Computer Readable Copy   |  |  |  |  |  |  |
|     |    | Accompanying Application Parts  |  |  |  |  |  |  |
| 9.  |    | Assignment Papers (cover sheet & document(s))   |  |  |  |  |  |  |
| 10. |    | 37 CFR 3.73(B) Statement (when there is an assignee)  |  |  |  |  |  |  |
| 11. |    | English Translation Document (if applicable)  |  |  |  |  |  |  |
| 12. |    | Information Disclosure Statement/PTO-1449   Copies of IDS Citations   |  |  |  |  |  |  |
| 13. |    | Preliminary Amendment   |  |  |  |  |  |  |
| 14. |    | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  |  |  |  |  |  |  |
| 15. |    | Certified Copy of Priority Document(s) (if foreign priority is claimed)   |  |  |  |  |  |  |
| 16. | X  | Certificate of Mailing  |  |  |  |  |  |  |
|     |    | ☐ First Class ☒ Express Mail (Specify Label No.): EV 373425959 US   |  |  |  |  |  |  |

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4

#### **Accompanying Application Parts (C ntinued)**

| Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  |
|--|
| Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.  |
| Warning  |
| An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |
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# 14/12 U.S.P.

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## Fee Calculation and Transmittal

| ,  |                        | CLAIMS                                 | AS FILED                       |        |                      |              |          |
|--|------------------------|--|--------------------------------|--------|----------------------|--------------|----------|
| For  | #Filed                 | #Allowed                               | #Extra                         |        | Rate                 |              | Fee      |
| Total Claims                                     | 8                      | - 20 =                                 | 0                              | x      | \$18.00              |              | \$0.00   |
| ndep. Claims                                     | 1                      | - 3 =                                  | 0                              | х      | \$86.00              |              | \$0.00   |
| Multiple Depen                                   | dent Claims (check     | if applicable)                         |                                | -      |                      |              | \$0.00   |
|  |                        |  |                                |        |                      | BASIC FEE    | \$770.00 |
| OTHER FEE (                                      | specify purpose)       |  |                                |        |                      |              | \$0.00   |
|  |                        |  |                                |        | TOTA                 | L FILING FEE | \$770.00 |
| The Director as described   Ch Ch Ch Ch Ch Ch Ch | or is hereby authorize | to charge and carried in 37 C.F.R. 1.1 | as filing fee.<br>under 37 C.I | Accour | nt No.<br>6 and 1.17 |              |          |

Dated: 30 Jan 2004

Geza C. Ziegler, Jr. Registration No. 44,004

cc: 2512

| CERTIFICATE OF                  | MAILING BY "EXPRESS                           | МАП." (37 CFR 1.10)                | Docket No.               |
|---------------------------------|---|------------------------------------|--------------------------|
| Applicant(s): Brookner,         |   |                                    | 770P011329-US (PAR       |
| Serial No.                      | Filing Date<br>Herewith                       | Examiner                           | Group Art Unit           |
| Invention: SECURE PO            | OSTAL METERING DEVICE                         |                                    | •                        |
| I hereby certify that th        | e following correspondence:                   |                                    |                          |
| Application Transmit            | tal, Application, Postcard and Cl             | neck                               |                          |
| <u> </u>                        | (Identify type                                | of correspondence)                 |                          |
| 37 CFR 1.10 in an 22313-1450 on | envelope addressed to: Com  1/30/2004  (Date) | missioner for Patents, P.O.        | Box 1450, Alexandria, VA |
|                                 |   | Debra Con                          | rad                      |
|                                 |   | (Typed or Printed Name of Person M | ad                       |
|                                 |   | EV 37342595                        | 59 US                    |
|                                 | <del></del>                                   | ("Express Mail" Mailing I          | Label Number)            |
|                                 | Note: Each paper must ha                      | we its own certificate of mailing. |                          |